



**BANNER & WITCOFF, LTD.**  
INTELLECTUAL PROPERTY LAW

1001 G STREET, N.W.  
WASHINGTON, D.C. 20001-4597

TEL: 202.824.3000  
FAX: 202.824.3001  
[www.bannerwitcoff.com](http://www.bannerwitcoff.com)

### FACSIMILE TRANSMITTAL SHEET

**To:** EXAMINER STEVE SAX  
U.S. Patent and Trademark Office

**FROM:** Chris Glembocki and Phil Morton

**DATE:** July 27, 2004

**FAX NUMBER:** 703-872-9306

**TOTAL NO. OF PAGES (INCLUDING COVER SHEET):** 6

**Office:** 703-305-9582

**OUR REFERENCE (C/M) No.:** 003797.84618

**RE:** U.S. Patent Application Serial No. 09/455,807

**RECEIVED**

**CENTRAL FAX CENTER**

*If you do not receive all page(s) or have any problems receiving this transmission, please call:*

**JUL 27 2004**

**NAME:** Phil Morton

**PHONE:** 202-824-3185

**COMMENTS:** Enclosed is the proposed agenda and proposed new claims for discussion at the Examiner Interview to be held July 28, 2004 at 1:00 p.m. for the above-referenced application.

**OFFICIAL**

**IMPORTANT/CONFIDENTIAL:** This message is intended only for the use of the individual or entity to whom it is addressed. This message contains information from the law firm of BANNER & WITCOFF, LTD. which may be privileged, confidential or exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, retention, archiving, or copying of the communication is strictly prohibited. If you have received this communication in error, please notify us immediately at our telephone number listed above. We will be happy to arrange for the return of this message to our offices at no cost to you.

CHICAGO

WASHINGTON, D.C.

BOSTON

PORTLAND, OR

PTOL-413A (03-03)  
Approved for use through xx/xx/xxxx. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

**Application No.: 09/455807 First Named Applicant: John Beezer**

**Examiner: Steven P. Sax Art Unit: 2174 Status of Application: Final Rejection**

**Tentative Participants:**

<b>(1) Christopher R. Glembocki</b>	<b>(2) Phillip E. Morton</b>
<hr/>	<hr/>
<b>(3)</b>	<b>(4)</b>
<hr/>	<hr/>

**Proposed Date of Interview: 7/28/04 Proposed Time: 1:00 (AM  PM**

**Type of Interview Requested:**

**(1)  Telephonic (2)  Personal (3)  Video Conference**

**Exhibit To Be Shown or Demonstrated:  YES  NO**

If yes, provide brief description: \_\_\_\_\_

#### **Issues To Be Discussed**

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) Rej.	1-30	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Continuation Sheet Attached

**Brief Description of Arguments to be Presented:**

**Discuss disclosure of Strahorn reference (U.S. Pat. No. 5,933,140)**

**Discuss allowability of proposed new claims**

**Discuss unacknowledged invention disclosure statements**

An interview was conducted on the above-identified application on \_\_\_\_\_

**NOTE:**

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

\_\_\_\_\_  
**Applicant/Applicant's Representative Signature**

\_\_\_\_\_  
**Examiner/SPE Signature**

This collection of information is required by 37 CFR 1.183. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**Proposed Agenda for Examiner Interview**

July 28, 2004 – 1:00 p.m.

**Examiner: Steven P. Sax****Interviewers: Christopher R. Glembocki & Phillip E. Morton of Banner & Witcoff, Ltd.****Application No: 09/455,807****Title: Method and Apparatus For Capturing and Rendering Text Annotations For Non-Modifiable Electronic Content****Inventor: John Beezer****Attorney Docket No.: 003797.84618****1. Discussion of Submitted Invention Disclosure Statements**

- Nine invention disclosure statements submitted by the applicant have not been acknowledged.
- Comparison of PTO file with applicant's file to ensure that the Examiner has all IDS's.

**2. Discussion of disclosure of Strahorn reference (U.S. Pat. No. 5,933,140)****3. Discussion of allowability of proposed new claims that distinguish over the combination applied**